**National Alliance Securities, LLC**

Organizational Chart - Business Units

July 2015

**Fixed Income & Operations**

Erin Abney – OPS Specialist

Cornelius Adetiba – Tech/RR

Nevert Boraie – Trader

Courtney Bowling – CCO/AML DP

Fred Bush – FINOP/CFO

Rachel Chastain - OPS Assistant

Roy Cogdell - RR

Trey Davis – RR

Patrick Dertien - Trader

James Etter – RR

Robert Gold – RR

Gregory Haugen – RR

Amberly Huntley - OPS Manager

Tammy Ingraham - Accounting

Tony Kegg - RR

Howard LeDet -RR

John Liepman- Trader

Sammy Loerch - RR

Jon McDonald - RR

Ralph Minderhoud – Trader

Joe Moran -RR

Jack O'Connell - RR

Ernie Prado – RR

Scott Sanders – Trader

Brad Taylor – RR

Michael Velaney – RR

**Mike Enriquez**

*Equity Principal*

Matt Brust – RR

Timothy Chen – RR

Russell Greenspan - RR

Brendan McCarthy – RR

Michael Muhlbach – RR

**Fixed Income**

Stephen Lipkin -Trader

Darrin O'Connor - Trader

Robert Whigham – Trader

**Fixed Income & Private Placements**

Brian Barnhill – Asst. Trader

Andrew Brenner – RR

James Colonias – RR

Victor DePinto,- RR

Stephen Edson – Trainee

Charles Gilbride – RR

Mike Giordano – Asst. Trader

Bentley Lok – RR

Dean Myerow – RR

Bart Pan-Kita – RR

Carl Schuman - RR

Jeffrey Treut – RR

Sean Vesey – RR

**National Alliance**

**Securities Group**

**Bradford Phillips**

*Branch Manager*

**Steve Bischoff**

*Managing Member*

*Branch Manager*

*Trader*

**National Alliance Capital Markets**

**Mark Salter**

*CEO/President, Branch Manager, Trader*