

Organization Registration Status

Organization CRD Number: 39455 Organization Name: NATIONAL ALLIANCE SECURITIES, LLC
Organization SEC Number: 8-48723 Applicant Name: NATIONAL ALLIANCE SECURITIES, LLC
No IA Record

SEC / SRO / Jurisdiction	Registration Status	Status Effective Date
SEC	Approved -02/11/04 SUCC AMDT A1 02/06/2013 SUCC AMDT AMENDMENT RECEIVED 4/11/14 UPDATED THE NEW THE IRS NUMBER FROM THE 12/31/12 SUCCESSION. CYC 5/20/14	03/06/1997
FINRA	Approved -	03/06/1997
AL	Approved -	03/27/2003
AZ	Approved -	11/14/2001
AR	Approved -	02/21/2003
CA	Approved -	03/21/1997
CO	Approved -	08/27/2002
CT	Approved -	09/06/2002
DE	Approved -	10/08/2002
DC	Approved -	10/26/2001
FL	Approved -	11/29/2001
GA	Approved -	10/17/2002
ID	Approved -	07/19/2004
IL	Approved -	11/27/2001
IN	Approved -	09/23/2002
IA	Approved -	02/12/2003
KS	Approved -	03/12/2010
KY	Approved -	01/27/2003
LA	Approved -	10/27/2005
ME	Approved -	03/20/2015
MD	Approved -	10/29/2001
MA	Approved -	06/05/2002
MI	Approved -	08/15/2002
MN	Approved -	04/14/2008
MS	Approved -	03/17/2004
MO	Approved -	09/24/2002
MT	Approved -	02/26/2003
NE	Approved -	05/15/2008
NV	Approved -	05/20/2002
NH	Approved -	03/08/2010
NJ	Approved -	05/27/2002
NM	Approved -DP: STEPHEN D. LIPKIN CRD 1453273	06/13/2002
NY	Approved -	11/06/2001
NC	Approved -	09/06/2002
OH	Approved -APPROVED	06/11/2002

OK	Approved -	11/08/2001
OR	Approved -	08/28/2002
PA	Approved -	11/21/2001
SD	Approved -	04/14/2008
TN	Approved -	11/26/2001
TX	Approved -	05/16/2002
UT	Approved -	10/09/2002
VA	Approved -	08/12/2002
WA	Approved -	10/26/2001
WV	Approved -	02/13/2003
WI	Approved -	05/21/2002